



#6 SP 2124
11-19-03 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

SHARMA et al.

Application No: 09/846,067

Filed: April 30, 2001

For: METHOD AND APPARATUS
FOR UPGRADING MANAGED
APPLICATION STATE FOR A JAVA
BASED APPLICATION

) Group: Unassigned

) Examiner: Unassigned

) Atty. Docket No: SUNMP007

) Date: November 4, 2003

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on November 4, 2003.

Signed: _____

Kay Harlow

INFORMATION DISCLOSURE STATEMENT **RECEIVED**
UNDER 37 CFR §§1.97(e)

NOV 14 2003

Commissioner for Patents
Alexandria, VA 22313-1450

Technology Center 2100

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

A statement is hereby made that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. (37 CFR 1.97(e)(1)). A copy of an International Search Report is enclosed herein. If it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0805 (Order No. SUNMP007).

Respectfully submitted,
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Attorney Docket No: SUNMP007

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Form 1449 (Modified)
**Information Disclosure
Statement By Applicant**

(Use Several Sheets if Necessary)

Attorney Docket No: U.S.
 SUNMP007 09/846,067
 Applicant:
 Sharma et al.
 Filing Date:
 April 30, 2001 Group:
 Unassigned

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class
	A					
	B					
	C					
	D					
	E					RECEIVED
	F					NOV 14 2003
	G					Technology Center 2100
	H					
	I					

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	J							
	K							
	L							

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	M	Anderson, "A Deployment System for Pervasive Computing", Dept. of Computer and Information Science, p. 262-270, 2000 IEEE, jesan@ida.liu.se
	N	Armstrong, "How to Implement state-dependent behavior", JavaWorld, Aug. 1997, XP-002246628, JavaWorld.com
	O	Unknown, "Cleaning Up Unused Objects", XP-002249877, 1/8/01, The Java TM Tutorial, web.archive.org/web/2001...ava/data/garbagecollection.com
	P	Anderson and Ritzau, "Dynamic Code Update in JDRUMS", XP-002249737, 06/2000, School of Mathematics and Systems Eng., Sweden, and Dept. of Computer and Information Service, Sweden.
	Q	Ritzau and Anderson, "Dynamic Deployment of Java Applications", The Embedded Systems Show, London, May 24-25, 2000, XP-002249738.
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered.
 Include copy of this form with next communication to applicant.